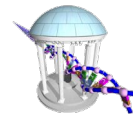


# Comp 555 - BioAlgorithms - Spring 2021



## COMBINATORIAL PILLOW TALK

How do I love thee? Let me count the ways. Suppose there are  $n$  ways of loving someone and I can love you in any  $k$  of them. Assuming order doesn't matter, there are simply  $\binom{n}{k} = \frac{n!}{(n-k)!k!}$  ways. If order does matter - eg, if buying you flowers on Monday and taking you to a show on Tuesday differs from taking you to a show on Monday and buying you flowers on Tuesday, then we have  $\frac{n!}{(n-k)!}$ , or  $\binom{n}{k}k!$  - but what if I can love you in  $k$  ways, then  $m$  ways?

This scenario requires the multichoose operation,  
$$\binom{n}{k, m} = \frac{n!}{k!(n-k)! \cdot m!(n-k-m)! \dots}$$



2006

© COURTNEY GIBBONS

- **PROBLEM SET #2 IS DUE TONIGHT**

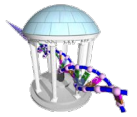
## Combinatorial Pattern Matching

# A Recurring Problem



- Finding patterns within sequences
- Variants on this idea
  - Finding repeated motifs amongst a set of strings
  - What are the most frequent k-mers
  - How many times does a specific k-mer appear
- Fundamental problem: *Pattern Matching*
  - Find all positions of a particular substring in given sequence?





# Pattern Matching

The most fundamental of pattern matching problems--  
does a pattern,  $p$ , appear in a text,  $t$ ? And if so, where?

- **Goal:** Find all occurrences of a pattern in a text
- **Input:** Pattern  $p = p_1, p_2, \dots, p_n$  and text  $t = t_1, t_2, \dots, t_m$
- **Output:** All positions  $1 < i < (m - n + 1)$  such that the  $n$ -letter substring of  $t$  starting at  $i$  matches  $p$

```
In [2]: ► def bruteForcePatternMatching(p, t):
         locations = []
         for i in range(0, len(t)-len(p)+1):
             if t[i:i+len(p)] == p:
                 locations.append(i)
         return locations

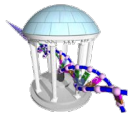
         print(bruteForcePatternMatching("ssi", "imissmississippi"))
```

```
[11, 14]
```



# Pattern Matching Performance

- Performance:
  - $m$  - length of the text  $t$
  - $n$  - the length of the pattern  $p$
  - Search Loop - executed  $O(m)$  times
  - Comparison -  $O(n)$  symbols compared
  - Total cost -  $O(mn)$  per pattern
- In practice, most comparisons will terminate early. Why?
- But worst-case data examples exist:
  - $p = \text{"AAAT"}$
  - $t = \text{"AAAAAAAAAAAAAAAAAAAAAAAAAAAT"}$



# We can do better!

If we preprocess our pattern we can search more efficiently ( $O(n)$ ).

Example: FindPattern("ssi", "imissmissmississippi"):

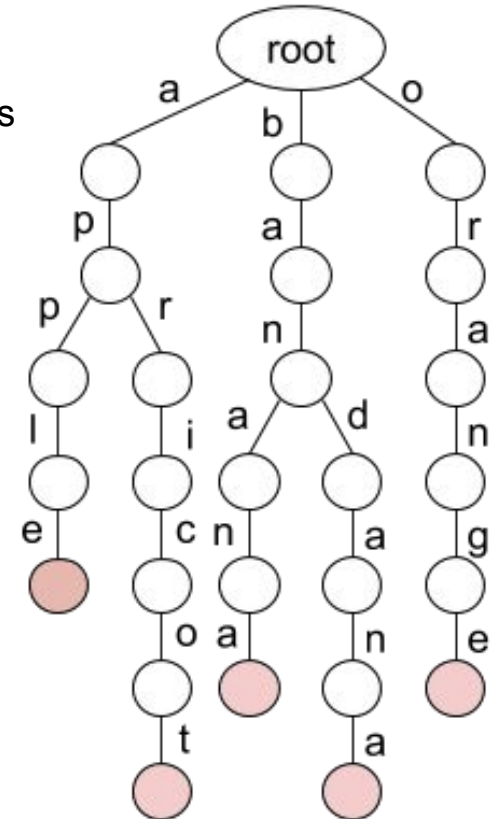
```
            imissmissmississippi
1.         s
2.          s
3.           s
4.            SSi
5.             s
6.              SSi
7.               s
8.                SSI      - match at 11
9.                 SSI      - match at 14
10.                  s
11.                   s
12.                    s
```

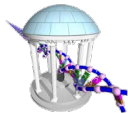
- At steps 4 and 6 after finding the mismatch "i"  $\neq$  "m" we can skip over all positions tested because we know that the **suffix** "sm" is not a **prefix** of our pattern "ssi".
- Even works for our worst-case example "AAAAT" in "AAAAAAAAAAAAAAT" by recognizing the shared prefixes ("AAA" in "AAAA").
- How about finding multiple patterns  $[p_1, p_2, \dots, p_3]$  in  $t$



# Keyword Trees

- We can preprocess the set of strings we are seeking to minimize the |number of comparisons
- **Idea:** Combine patterns that share prefixes, to *share* those comparisons
  - Stores a set of keywords in a rooted labeled tree
  - Each edge labeled with a letter from an alphabet
  - All edges leaving a given vertex have distinct labels
  - Leaf vertices are indicated
  - Every keyword stored can be spelled on a path from the root to some leaf vertex
  - Searches are performed by “threading” the target pattern through the tree
- A **Tree** is a special graph as discussed previously
  - One connected component
  - $N$  nodes,  $N-1$  edges, No loops
  - Exactly one path from any.
- A **Trie** is a tree that is related to a sequence.
  - Generally, there is a 1-to-1 correspondence between either nodes or edges of the *trie* and a symbol of the sequence





# Prefix *Trie* Match

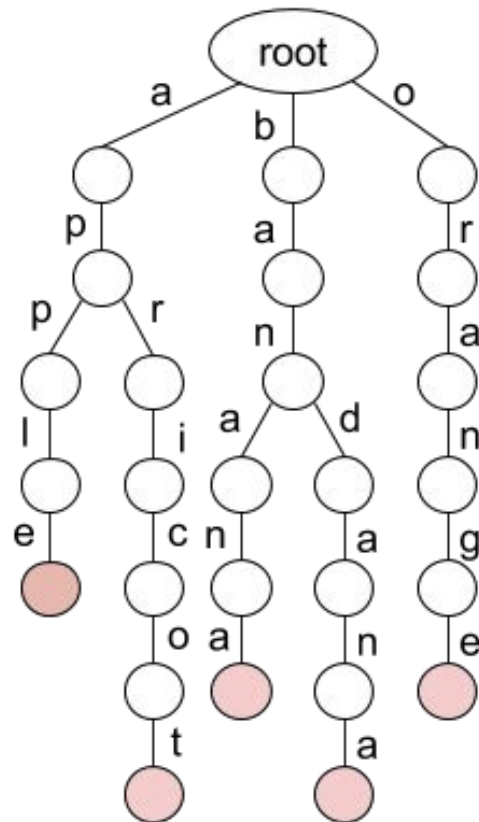
- **Input:** A text  $t$  and a trie  $P$  of patterns
- **Output:** True if  $t$  leads to a leaf in  $P$ ; False otherwise

What is output for:

- *apple*
- *band*
- *april*

Performance:

- $O(m)$  - the length of the text,  $t$
- Independent of how many strings are in the Keyword Trie



# Prefix *Trie* code



```
In [2]: def path(string, parent):
        if len(string) > 0:
            if string[0] in parent:
                child = parent[string[0]]
            else:
                child = {}
                parent[string[0]] = child
            path(string[1:], child)
        else:
            parent['$'] = True

class PrefixTrie:
    def __init__(self):
        """ Tree is a dictionary of the children at each node"""
        self.root = {}
    def add(self, string):
        """ Add a path from the Trie's root"""
        path(string, self.root)
    def match(self, string):
        """ Check if there is a path from the root to a '$' """
        parent = self.root
        for c in string:
            if c not in parent:
                break
            parent = parent[c]
        else:
            return '$' in parent
        return False
```



# Prefix *Trie* code



```
In [3]: T = PrefixTrie()
T.add("apple")
T.add("banana")
T.add("apricot")
T.add("bandana")
T.add("orange")
print(T.root)
print(T.match('orange'))
print([T.match(v) for v in ['apple', 'banana', 'apricot', 'orange', 'band', 'april', 'bandana', 'bananapple']])
```

```
{'o': {'r': {'a': {'n': {'g': {'e': {'$': True}}}}}}, 'b': {'a': {'n': {'a': {'n': {'a': {'$': True}}}}, 'd': {'a': {'n': {'a': {'$': True}}}}}}, 'a': {'p': {'r': {'i': {'c': {'o': {'t': {'$': True}}}}}}, 'p': {'l': {'e': {'$': True}}}}}}
True
[True, True, True, True, False, False, True, False]
```

# Multiple Pattern Matching



Suppose that we have a long string,  $t$ , like a genome, and we want to find if any of the strings in a previously constructed prefix trie,  $P$ , appear within it.

- $t$  - the text to search through
- $P$  - the trie of patterns to search for

```
def multiplePatternMatching(t, P):
    locations = []
    for i in xrange(0, len(t)):
        if PrefixTrieMatch(t[i:], P):
            locations.append(i)
    return locations
```

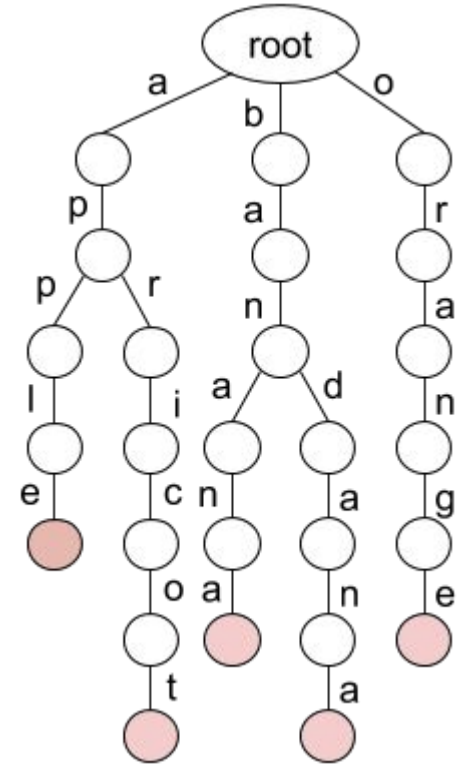


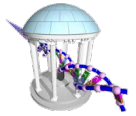
# Multiple Pattern Matching Example

multiplePatternMatching("bananapple", P):

- 0: PrefixTrieMatching("bananapple", P) = True
- 1: PrefixTrieMatching("ananapple", P) = False
- 2: PrefixTrieMatching("nanapple", P) = False
- 3: PrefixTrieMatching("anapple", P) = False
- 4: PrefixTrieMatching("napple", P) = False
- 5: PrefixTrieMatching("apple", P) = True
- 6: PrefixTrieMatching("pple", P) = False
- 7: PrefixTrieMatching("ple", P) = False
- 8: PrefixTrieMatching("le", P) = False
- 9: PrefixTrieMatching("e", P) = False

locations = [0, 5]



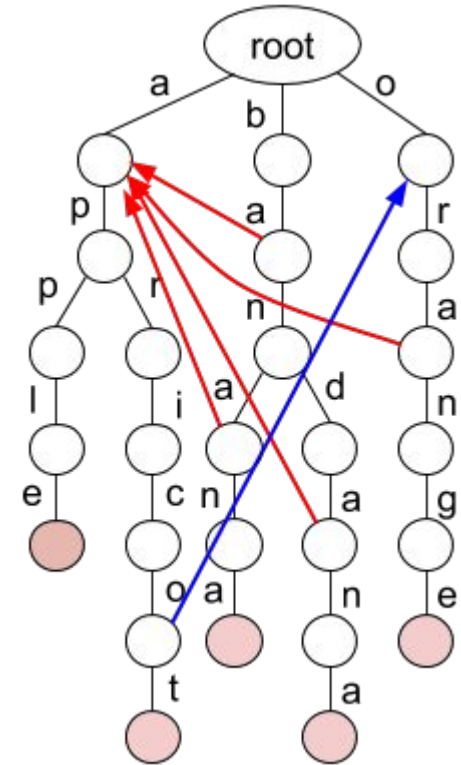


# Trie Improvements

- Based on our previous speed-up
- We can add failure edges to our Trie  
Add an edge to any *prefix* from the root that matches a *suffix* on our failed path
- *Aho-Corasick* Algorithm

The concept of "threading" one string through another

bapple  
bap  
apple

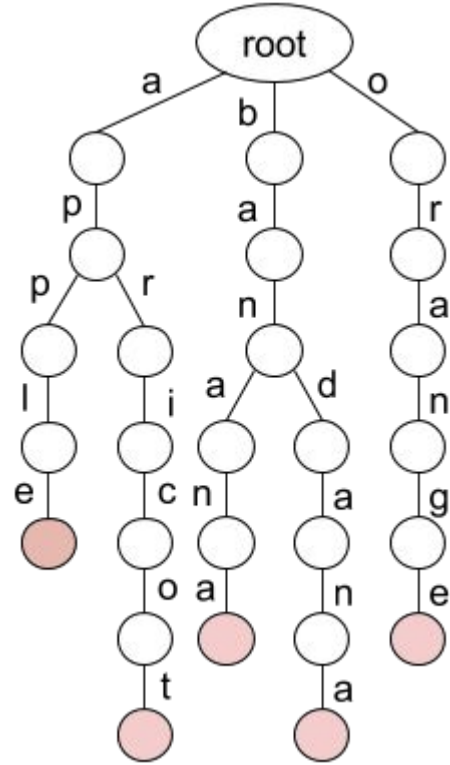




# Multiple Pattern Matching Performance

- $m - \text{len}(t)$
- $d$  - max depth of  $P$  (longest pattern in  $P$ )
- $O(md)$  to find all patterns
- Can be decreased further to  $O(m)$  using Aho-Corasick Algorithm
  - Add links for pattern suffixes that match text prefixes
- Pattern matching data structure is query specific

**Idea:** Rather than building a search data structure for indexing the prefixes of the pattern, why not build one for indexing the suffixes of the text.



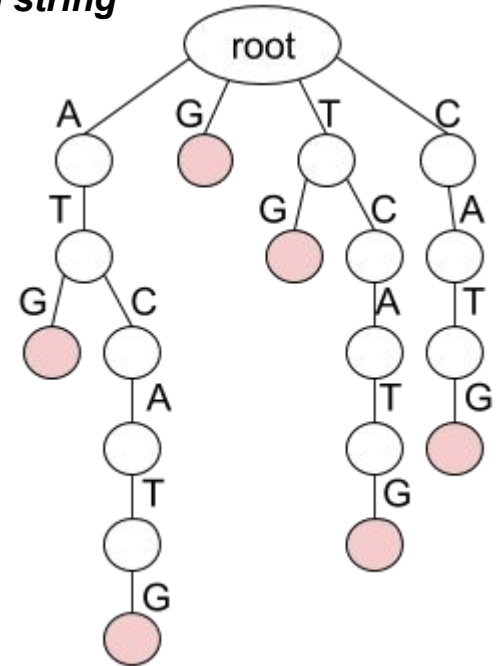


# Now for a Twist

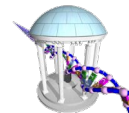
- What if our list of keywords were simply all suffixes of a **single given string**

Example: ATCATG  
TCATG  
CATG  
ATG  
TG  
G

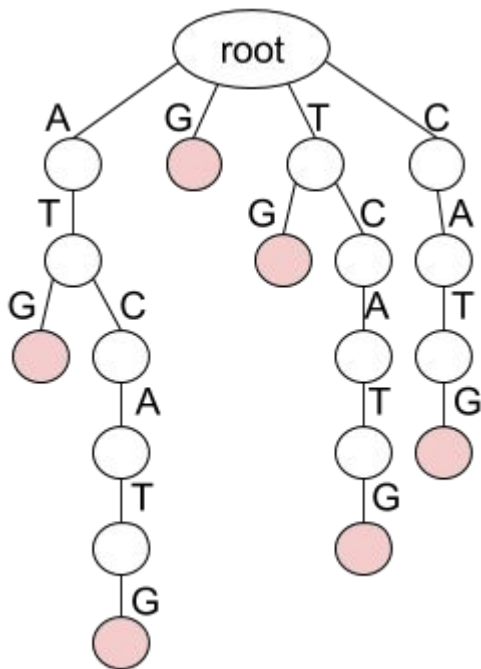
- The resulting keyword tree:
- **A Suffix Trie**
- How would you find "CAT"
- It is a prefix of one of our suffixes
- If there is a path for our entire pattern, we know which suffix it came from
- Try "AT"



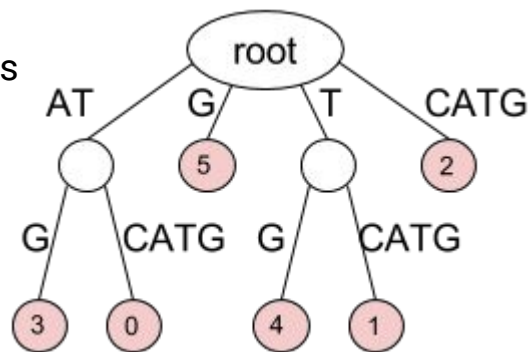
# Suffix Tree

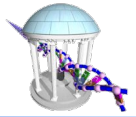


## A compressed Suffix Trie



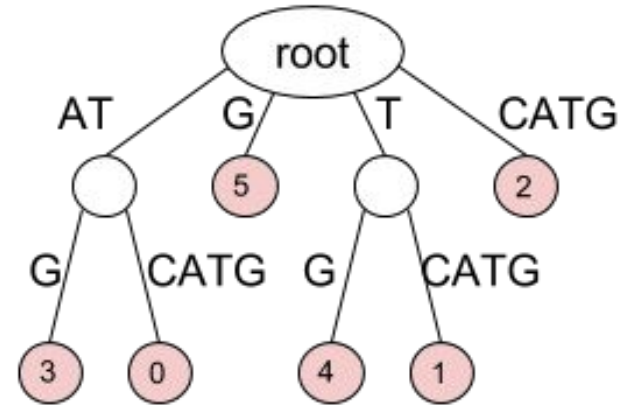
- Combine nodes with in and out degree 1
- Make edges of these substrings
- All internal nodes have at least 3 edges
- All leaf nodes are labeled with an index of the suffix's index





# Uses for Suffix Trees

- Suffix trees hold all suffixes of a text,  $T$ 
  - i.e., ATCATG: ATCATG, TCATG, CATG, ATG, TG, G
- Can be built in  $O(m)$  time for text of length  $m$
- To find any pattern  $P$  in a text:
  - Build suffix tree for text,  $O(m)$ ,  $m=|T|$
  - Thread the pattern through the suffix tree
  - Can find pattern in  $O(n)$  time! ( $n=|P|$ )
- $O(|T|+|P|)$  time for "Pattern Matching Problem" (better than Naïve  $O(|P||T|)$ )
- Build suffix tree and lookup pattern
- Multiple Pattern Matching in  $O(|T|+k|P|)$





# Suffix Tree Overhead

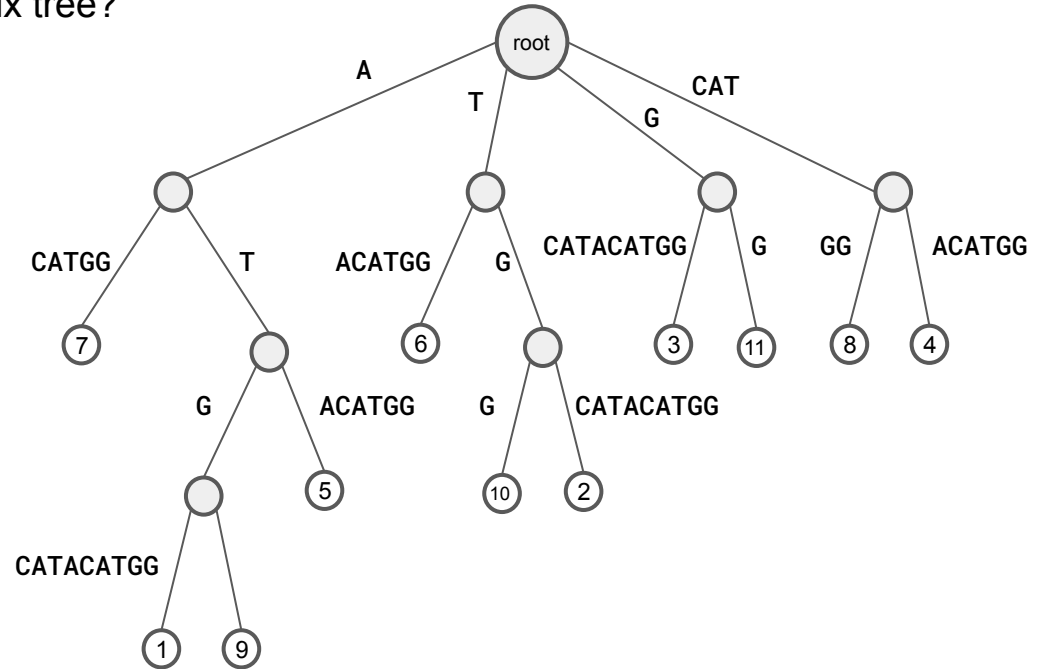


- Input: text of length  $m$
- Computation
  - $O(m)$  to compute a suffix tree
  - Does not require building the suffix trie first
- Memory
  - $O(m)$  - nodes are stored as offsets and lengths
- Huge hidden constant, best implementations
- Requires about  $20*m$  bytes
- 3 GB human genome = 60 GB RAM



# Suffix Tree Examples

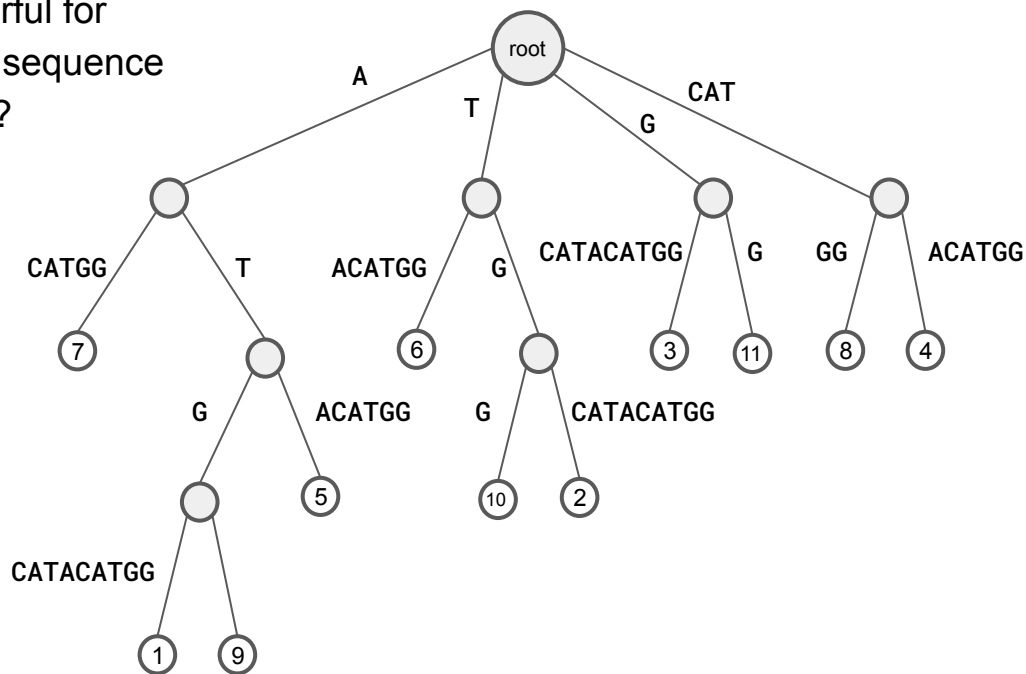
- What is the string represented in the suffix tree?  
Find path that leads to "1"
- What letter occurs most frequently?  
Find edge from the root leads to the most leaves
- How many times does "ATG" appear, and where?  
Match "ATG" to tree and count the number of leaves from that path
- How long is the longest repeated k-mer?  
Find longest path leading to two leaves

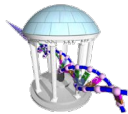


# Suffix Trees: Theory vs. Practice



- In theory, suffix trees are extremely powerful for making a variety of queries concerning a sequence
  - What is the shortest unique substring?
  - How many times does a given string appear in a text?
- Despite the existence of linear-time construction algorithms, and  $O(m)$  search times, suffix trees are still rarely used for genome-scale searching
- Large storage overhead





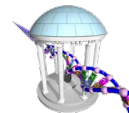
# Substring Searching

- Is there some other data structure to gain efficient access to all of the suffixes of a given string with less overhead than a suffix tree?
- Some things we know
  - Searching an unordered list of items with length  $n$  generally requires  $O(n)$  steps
  - However, if we sort our items first, then we can search using  $O(\log(n))$  steps
  - Thus, if we plan to do frequent searches there is some advantage to performing a sort first and amortizing its cost over many searches
- For strings *suffixes* are interesting *items*. Why?

**Suffixes:** panamabananas  
anamabananas  
namabananas  
amabananas  
mabananas  
abananas  
bananas  
ananas  
nanas  
anas  
nas  
as  
s

**Sorted Suffixes:** abananas  
amabananas  
anamabananas  
ananas  
anas  
as  
bananas  
mabananas  
namabananas  
nanas  
nas  
panamabananas  
s

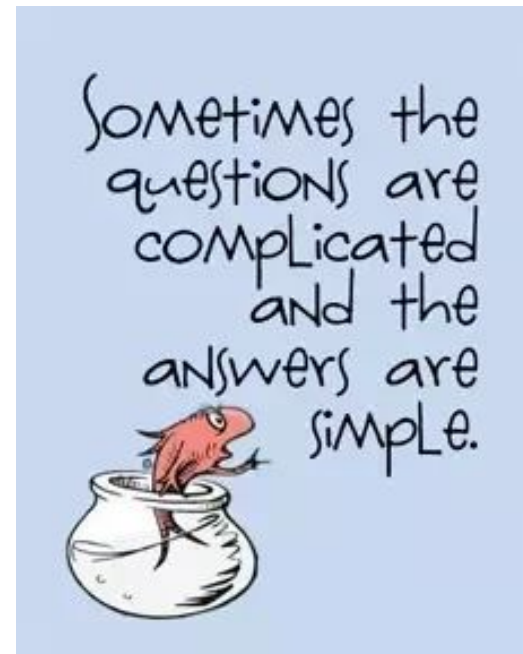
# Questions you can ask

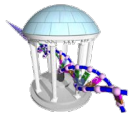


Is there any use for a list of sorted suffixes?

**Sorted Suffixes:** abananas  
amabananas  
anamabananas  
ananas  
anas  
as  
bananas  
mabananas  
namabananas  
nanas  
nas  
panamabananas  
s

- Does the substring "nana" appear in the original string?
- How many times does "ana" appear in the string?
- What is the most/least frequent letter in the original string?
- What is the most frequent two-letter substring in the original string?





# Properties of a sorted “suffix array”

- Size of the sorted list if the given text has a length of  $m$ ?  $O(m^2)$
- Cost of the sort?  $O(m^2 \log(m))$
- Not practical for big  $m$
- There are many ways to sort
  - What is an “*in place*” sort?
  - What is a “*stable*” sort?
  - What is an “*arg*” sort?

# Arg Sorting



Consider the list:

[ 72, 27, 45, 36, 18, 54, 9, 63 ]

When sorted it is simply:

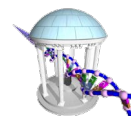
[ 9, 18, 27, 36, 45, 54, 63, 72 ]

Its “arg” sort is:

[ 6, 4, 1, 3, 2, 5, 7, 0 ]

- The *i*th element in the arg sort is the *index* of the *i*th element from the original list when sorted.
- Thus,  $[A[i] \text{ for } i \text{ in } \text{argsort}(A)] == \text{sorted}(A)$

# Code for Arg Sorting



```
In [7]: ▶ def argsort(input):
        return sorted(range(len(input)), key=input.__getitem__)

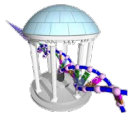
A = [72,27,45,36,18,54,9,63]
print(argsort(A))
print([A[i] for i in argsort(A)])

print()
B = ["TAGACAT", "AGACAT", "GACAT", "ACAT", "CAT", "AT", "T"]
print(argsort(B))
print([B[i] for i in argsort(B)])

[6, 4, 1, 3, 2, 5, 7, 0]
[9, 18, 27, 36, 45, 54, 63, 72]

[3, 1, 5, 4, 2, 6, 0]
['ACAT', 'AGACAT', 'AT', 'CAT', 'GACAT', 'T', 'TAGACAT']
```





# Next Time

- We'll see how arg sorting can be used to simplify representing our sorted list of suffixes
- Suffix arrays
- Burrows-Wheeler Transforms
- Applications in sequence alignment

