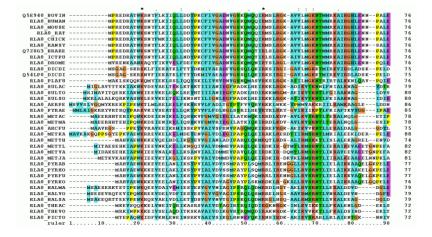
Sequence Alignment



- Relating sequence alignment to our Manhattan Tour Problem
- Go over Midterm exam
- You should see grades for PS#1, PS#2, and Midterm online
- PS#3 is due tonight
- PS#4 will be posted before the weekend.

A Biological Dynamic Programming Problem

- How to measure the similarity between a pair of nucleotide or amino acid sequences
- When Motif-Searching we used Hamming distance as a measure of sequence similarity
- Is Hamming distance the best measure?
- How can we distinguish matches that occur by chance from slightly modified patterns?
- What sorts of modifications should we allow?



Best Sequence Matches

- Depends on how you define *Best*
- Consider the two DNA sequences *v* and *w*:

v: TAGACAAT w: AGAGACAT 11111111

- The Hamming distance: dH(v, w) = 8 is large but the sequences have similarity
- What if we allowed insertions and deletions?

Allowing Insertions and Deletions

• By shifting one sequence over one position:

- The edit distance: dH(v, w) = 3.
- Hamming distance neglects insertions and deletions

Edit Distance

- Levenshtein introduced the notion of an "edit distance" between two strings as the minimum number of elementary operations (insertions, deletions, and substitutions) to transform one string into the other in 1965.
- d(v,w) = Minimum number of elementary operations to transform $v \rightarrow w$
- Computing Hamming distance is a trivial task
- Computing edit distance is less trivial



Edit Distance: Example

TGCATAT \rightarrow ATCCGAT in 5 steps

 $\begin{array}{rcl} \mathsf{TGCATAT} & \rightarrow & (\mathsf{DELETE} \ last \ \mathsf{T}) \\ \mathsf{TGCATA} & \rightarrow & (\mathsf{DELETE} \ last \ \mathsf{A}) \\ \mathsf{TGCAT} & \rightarrow & (\mathsf{INSERT} \ \mathsf{A} \ at \ front) \\ \mathsf{ATGCAT} & \rightarrow & (\mathsf{SUBSTITUTE} \ \mathsf{C} \ for \ \mathsf{G}) \\ \mathsf{ATCCAT} & \rightarrow & (\mathsf{INSERT} \ \mathsf{G} \ before \ last \ \mathsf{A}) \\ \mathsf{ATCCGAT} & & (\mathsf{Done}) \end{array}$

What is the edit distance? 5?

Edit Distance: Example (2nd Try)

TGCATAT \rightarrow ATCCGAT in 4 steps

```
TGCATAT \rightarrow (INSERT A at front)
ATGCATAT \rightarrow (DELETE 2nd T)
ATGCAAT \rightarrow (SUBSTITUTE G for 2nd A)
ATGCGAT \rightarrow (SUBSTITUTE C for 1st G)
ATCCGAT (Done)
```

Is 4 the minimum edit distance? 3?

• A little jargon: Since the effect of insertion in one string can be accomplished via a deletion in the other string these two operations are correlated. Often algorithms will consider them together as a single operation called INDEL

Longest Common Subsequence

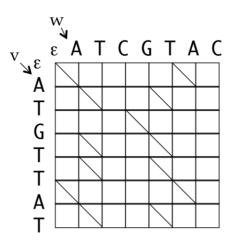
- A special case of edit distance where no *substitutions* are allowed
- A subsequence need not be contiguous, but the symbol order must be preserved Ex. If v = ATTGCTA then AGCA and TTTA are subsequences of v, but TGTT and ACGA are not
- All substrings of *v* are subsequences, but not vice versa
- The edit distance, *d*, is related to the length of the LCS, *s*, by:

$$d(u, w) = len(v) + len(w) - 2s(u, w)$$

ANUNCLEIKE UNCBEATDUKE

anUNC_1E____iKE 10 - 6 = 4 ___UNCb_Eatdu_KE 11 - 6 = 5

LCS as a Dynamic Program



- All possible possible alignments can be represented as a path from the string's beginning (source) to its end (destination)
- Horizontal edges add gaps in v. Vertical edges add gaps in w. Diagonal edges are a match
- Notice that we've only included valid diagonal edges appear in our graph

Various Alignments

- Introduce coordinates for the grid
- All valid paths from the source to the destination represent *some* alignment

77	0 1 2 2 3 4 5 6	A T Z
т	v A T _ G T T A	G 5 T 4 T 5
'_ С	w A T C G T _ A	A T
_ 0	0 1 2 3 4 5 5 6	0 1 2 3 4 5 6 7
6 7		

W

v ÉATCGTAC

• Path: (0,0), (1,1), (2,2), (2,3), (3,4), (4,5), (5,5), (6,6), (7,6), (7,7)

Alternate Alignment

- Introduce coordinates for the grid
- All valid paths from the source to the destination represent some alignment

6 7	01223456	A 1 T 2
т	v A T _ G T T A	G T T T
- ' C	w A T C G _ T A	A T
77	0 1 2 3 4 4 5 6	0 1 2 3 4 5 6 7

W

v EATCGTAC

• Path: (0,0), (1,1), (2,2), (2,3), (3,4), (4,4), (5,5), (6,6), (6,7), (7,7)

Even Bad Alignments

- Introduce coordinates for the grid
- All valid paths from the source to the destination represent some alignment

	0	0	0	0	0	0	1	2	3	4	5	6	
77	V						A	т	G	т	т	А	
т_	-	_	_	_	_	_		-	•	-	-		
_ C	W	A	Т	С	G	Т	A	_	_	_	_	_	
_ C	0	1	2	3	4	5	6	6	6	6	6	6	
67													

• Path: (0,0), (0,1), (0,2), (0,3), (0,4), (0,5), (1,6), (2,6), (3,6), (4,6), (5,6), (6,6), (7,6), (7,7)

ATCGTAC

W

¥ 3

 \mathbf{V}

4

А

G

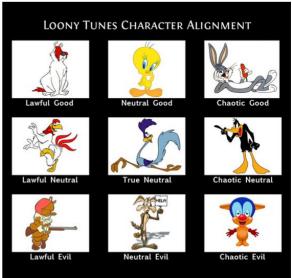
А

0

0

What makes a good alignment?

- Using as many diagonal segments (matches) as possible. Why?
- The end of a good alignment from (j...k) begins with a good alignment from (i..j)
- Same as Manhattan Tourist problem, where *sites* are only on the diagonal streets!
- Set diagonal street weights = 1, and horizontal and vertical weights = 0

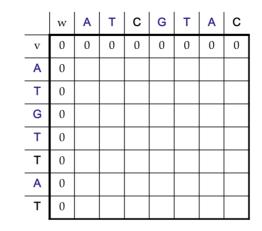




Alignment: Dynamic Program

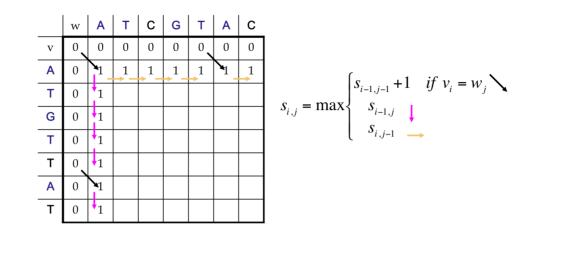
$$S_{i,j} = \max \begin{cases} s_{i-1,j-1} + 1 & if \quad v_i = w_i \\ s_{i-1,j} \\ s_{i,j-1} \end{cases}$$

Initialize 1st row and 1st column to all zeroes.

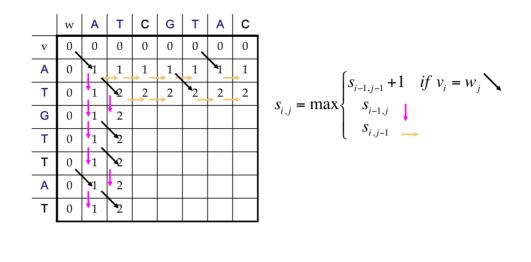


• Note intersections/vertices are rows in this matrix

Evaluate recursion for next row and/or next column

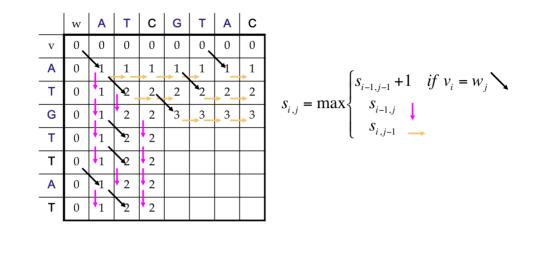


Continue recursion for next row and/or next column

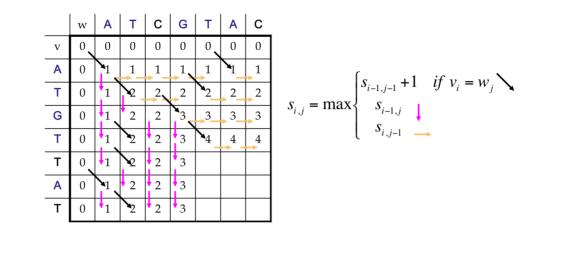




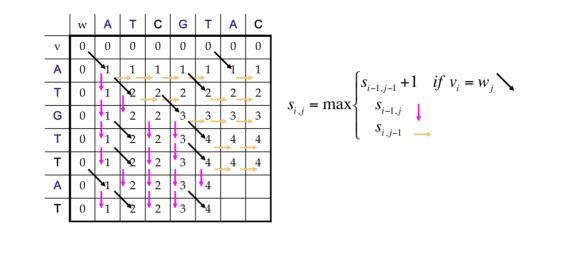
Then one more row and/or column



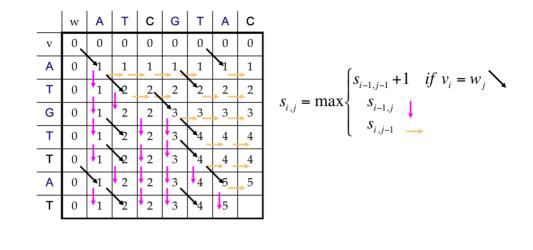
And so on...



And so on...

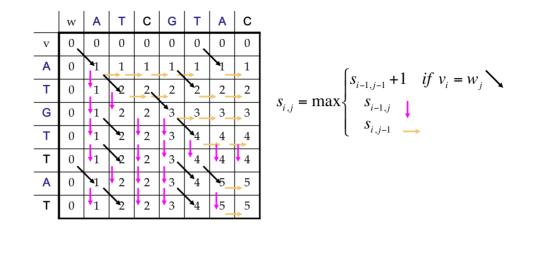


Getting closer



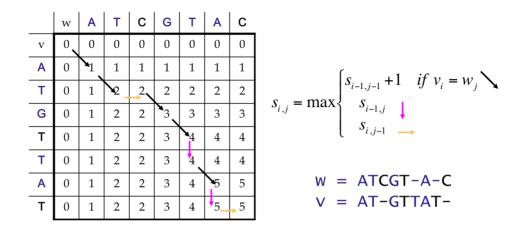


Until we reach the last row and column



Finally

We reach the end, which corresponds to an LCS of length 5



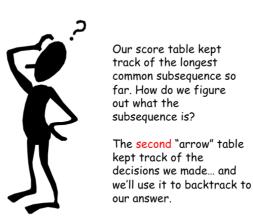
Our answer includes both an optimal score, and a path back to find the alignment

LCS Code

Let's see how well the code matches the approach we sketched out...

```
from numpv import *
def findLCS(v, w):
   score = zeros((len(v)+1, len(w)+1), dtvpe="int32")
   backt = zeros((len(v)+1, len(w)+1), dtvpe="int32")
   for i in xrange(1,len(v)+1):
       for i in xrange(1,len(w)+1):
           # find best score at each vertex
           if (v[i-1] == w[j-1]):
               score[i,j], backt[i,j] = max((score[i-1,j-1]+1,3), (score[i-1,j],1), (score[i,j-1],2))
           else:
               score[i,j], backt[i,j] = max((score[i-1,j],1), (score[i,j-1],2))
   return score, backt
v = "ATGTTAT"
W = "ATCGTAC"
s, b = findLCS(v,w)
for i in xrange(len(s)):
   print "%10s %-20s %12s %-20s" % ('' if i else 'score =', str(s[i]), '' if i else 'backtrack =', str(b[i]))
   score = [0 0 0 0 0 0 0 0]
                                    backtrack = [0 \ 0 \ 0 \ 0 \ 0 \ 0]
           [0 1 1 1 1 1 1 1]
                                                [0 3 2 2 2 2 3 2]
                                                                      • The same score matrix that we found by hand
                                                [0 1 3 2 2 3 2 2]
           [0 1 2 2 2 2 2 2]
                                                                      • "backtrack" keeps track of the arrows that we used
                                                [0 1 1 2 3 2 2 2]
           [0\ 1\ 2\ 2\ 3\ 3\ 3\ 3]
           [0 1 2 2 3 4 4 4]
                                                [0 1 3 2 1 3 2 2]
           [0 1 2 2 3 4 4 4]
                                                [0 1 3 2 1 3 2 2]
                                                                                                                                   24
           [0\ 1\ 2\ 2\ 3\ 4\ 5\ 5]
                                                [0 3 1 2 1 1 3 2]
           [0 1 2 2 3 4 5 5]
                                                [0 1 3 2 1 3 1 2]
```

Backtracking



In our example we used arrows $\{\downarrow, \rightarrow, \searrow\}$, which were represented in our matrix as $\{1,2,3\}$ respectively. This numbering is arbitrary, except that it does break ties in our implementation (matches > *w* deletions > *w* insertions).

Next we need code that finds a path from the end of our strings to the beginning using our *arrow* matrix



Code to extract our answer

We can write a simple recursive routine to return along the path of arrows that led to our best score.

```
def LCS(b,v,i,j):
    if ((i == 0) and (j == 0)):
        return ''
    if (b[i,j] == 3):
        result = LCS(b,v,i-1,j-1)
        result = result + v[i-1]
        return result
    else:
        if (b[i,j] == 2):
            return LCS(b,v,i,j-1)
        else:
            return LCS(b,v,i-1,j)
    print LCS(b,v,b.shape[0]-1,b.shape[1]-1)
```

ATGTA

- Technically correct, ATGTA is the LCS
 - w = ATcGT_A_c
 v = AT_GTtAt_
- Notice that we only need one of v or w since both contain the LCS
- Perhaps we would like to get more than just the LCS; for example, the correpsonding alignment.

An alignment of v and w

```
def Alignment(b,v,w,i,j):
   if ((i == 0) and (j == 0)):
        return ['','']
   if (b[i,j] == 3):
        result = Alignment(b,v,w,i-1,j-1)
        result[0] += v[i-1]
        result[1] += w[i-1]
        return result
   if (b[i,j] == 2):
        result = Alignment(b, v, w, i, j-1)
        result[0] += " "
        result[1] += w[j-1]
        return result
   if (b[i,j] == 1):
        result = Alignment(b,v,w,i-1,j)
        result[0] += v[i-1]
        result[1] += "_"
        return result
align = Alignment(b,v,w,b.shape[0]-1,b.shape[1]-1)
print "v =", align[0]
print "w =", align[1]
v = AT GTTAT
```

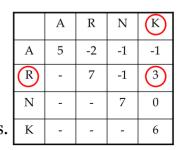
```
w = ATCG_TA_C
```

Alignment with a Scoring Matrix

- Rather *edit distance* one could use a table with costs for every symbol aligned to any other
- Scoring matrices allow alignments to consider biological constraints
- Alignments can be thought of as two sequences that differ due to mutations.
- Some types of mutations are more common, or have little effect on the protein's function, therefore some mismatch penalties, $\delta(v_i, w_j)$, should be less harsh than others.

Example:

- Although R (arginine) and K (lysine) are different amino acids, they might still have a positive score.
- Why? They are both positively charged amino acids and hydrophillic implying such a substitution may not greatly change function of protein.



AKRANR



Functional Conservation

- Amino acid changes that tend to preserve the electro-chemical properties of the original residue
 - Polar to polar (aspartate \rightarrow glutamate)
 - Nonpolar to nonpolar (alanine → valine)
 - Similarly behaving residues (leucine → isoleucine)
- Common Amino acid substitution matrices
 - PAM
 - BLOSUM
- DNA substitution matrices
 - DNA is less conserved than protein sequences
 - Less effective to compare coding regions at nucleotide level



PAM

- Point Accepted Mutation (Dayhoff et al.)
- 1 PAM = $PAM_1 = 1\%$ average change of all amino acid positions
 - After 100 PAMs of evolution, not every residue will have changed
 - some residues may have mutated several times
 - $\circ~$ some residues may have returned to their original state
 - $\circ~$ some residues may not changed at all
- $PAM_x \sim (PAM_1)^x$
- PAM₁ is a widely used scoring matrix for very similar sequences
- PAM₂₅₀ is a widely used scoring matrix for evolutionarily distant sequences
- PAM is based on an evolutionary model, but assumes every residue is mutating independently
- Matrix is derived from proteins with similar peptide sequences

		Ala	Arg	Asn	Asp	Cys	Gln	Glu	Gly	His	Ile	Leu	Lys	
		Α	R	Ν	D	С	Q	Е	G	Н	I	L	K	
Ala	А	13	6	9	9	5	8	9	12	6	8	6	7	
Arg	R	3	17	4	3	2	5	3	2	6	3	2	9	
Asn	Ν	4	4	6	7	2	5	6	4	6	3	2	5	
Asp	D	5	4	8	11	1	7	10	5	6	3	2	5	
Cys	С	2	1	1	1	52	1	1	2	2	2	1	1	
Gln	Q	3	5	5	6	1	10	7	3	7	2	3	5	
Trp	W	Θ	2	Θ	Θ	Θ	Θ	Θ	Θ	1	Θ	1	Θ	
Tyr	Υ	1	1	2	1	3	1	1	1	3	2	2	1	
Val	V	7	4	4	4	4	4	4	4	5	4	15	10	

BLOSUM

- **Blo**ck **Su**bstitution **M**atrix
- Scores derived from observations of the frequencies of substitutions in *shared* blocks of proteins with related function
- Matrix does not consider evolutionary distance
- Data driven
- BLOSUM50 was created using actual protein sequences sharing no more than 50% identity, but common function

	A	R	N	D	С	Q	E	G	H	I	L	K	м	F	P	s	T	W	Y	V	B	Z	X	*
A	5	-2	-1	-2	-1	-1	-1	0	-2	-1	-2	-1	-1	-3	-1	1	0	-3	-2	0	-2	-1	-1	-5
R	-2	7	-1	-2	-4	1	0	-3	0	-4	-3	3	-2	-3	-3	-1	-1	-3	-1	-3	-1	0	-1	-5
Ν	-1	-1	7	2	-2	0	0	0	1	-3	-4	0	-2	-4	-2	1	0	-4	-2	-3	4	0	-1	-5
D	-2	-2	2	8	-4	0	2	-1	-1	-4	-4	-1	-4	-5	-1	0	-1	-5	-3	-4	5	1	-1	-5
С	-1	-4	-2	-4	13	-3	-3	-3	-3	-2	-2	-3	-2	-2	-4	-1	-1	-5	-3	-1	-3	-3	-2	-5
Q	-1	1	0	0	-3	7	2	-2	1	-3	-2	2	0	-4	-1	0	-1	-1	-1	-3	0	4	-1	-5
E	-1	0	0	2	-3	2	6	-3	0	-4	-3	1	-2	-3	-1	-1	-1	-3	-2	-3	1	5	-1	-5
G	0	-3	0	-1	-3	-2	-3	8	-2	-4	-4	-2	-3	-4	-2	0	-2	-3	-3	-4	-1	-2	-2	-5
H	-2	0	1	-1	-3	1	0	-2	10	-4	-3	0	-1	-1	-2	-1	-2	-3	2	-4	0	0	-1	-5
Ι	-1	-4	-3	-4	-2	-3	-4	-4	-4	5	2	-3	2	0	-3	-3	-1	-3	-1	4	-4	-3	-1	-5
L	-2	-3	-4	-4	-2	-2	-3	-4	-3	2	5	-3	3	1	-4	-3	-1	-2	-1	1	-4	-3	-1	-5
K	-1	3	0	-1	-3	2	1	-2	0	-3	-3	6	-2	-4	-1	0	-1	-3	-2	-3	0	1	-1	-5
м	-1	-2	-2	-4	-2	0	-2	-3	-1	2	3	-2	7	0	-3	-2	-1	-1	0	1	-3	-1	-1	-5
F	-3	-3	-4	-5	-2	-4	-3	-4	-1	0	1	-4	0	8	-4	-3	-2	1	4	-1	-4	-4	-2	-5
P	-1	-3	-2	-1	-4	-1	-1	-2	-2	-3	-4	-1	-3	-4	10	-1	-1	-4	-3	-3	-2	-1	-2	-5
S	1	-1	1	0	-1	0	-1	0	-1	-3	-3	0	-2	-3	-1	5	2	-4	-2	-2	0	0	-1	-5
T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	2	5	-3	-2	0	0	-1	0	-5
W	-3	-3	-4	-5	-5	-1	-3	-3	-3	-3	-2	-3	-1	1	-4	-4	-3	15	2	-3	-5	-2	-3	-5
Y	-2	-1	-2	-3	-3	-1	-2	-3	2	-1	-1	-2	0	4	-3	-2	-2	2	8	-1	-3	-2	-1	-5
V	0	-3	-3	-4	-1	-3	-3	-4	-4	4	1	-3	1	-1	-3	-2	0	-3	-1	5	-4	-3	-1	-5
B	-2	-1	4	5	-3	0	1	-1	0	-4	-4	0	-3	-4	-2	0	0	-5	-3	-4	5	2	-1	-5
Z	-1	0	0	1	-3	4	5	-2	0	-3	-3	1	-1	-4	-1	0	-1	-2	-2	-3	2	5	-1	-5
X	-1	-1	-1	-1	-2	-1	-1	-2	-1	-1	-1	-1	-1	-2	-2	-1	0	-3	-1	-1	-1	-1	-1	-5
*	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	1



Global Alignment using a scoring matrix

import numpy

```
def GlobalAlign(v, w, scorematrix, indel):
    s = numpy.zeros((len(y)+1,len(w)+1), dtype="int32")
   b = numpv.zeros((len(v)+1,len(w)+1), dtvpe="int32")
   for i in xrange(0,len(v)+1):
        for i in xrange(0,len(w)+1);
            if (i == 0):
                if (i > 0):
                    s[i,j] = s[i-1,j] + indel
                    b[i, i] = 1
                continue
            if (i == 0):
                s[i,j] = s[i,j-1] + indel
                b[i, j] = 2
                continue
            score = s[i-1, j-1] + scorematrix[v[i-1], w[j-1]]
            vskip = s[i-1, j] + indel
            wskip = s[i, j-1] + indel
            s[i,j] = max(vskip, wskip, score)
            if (s[i,i] == vskip):
                b[i,j] = 1
            elif (s[i,j] == wskip):
                b[i, j] = 2
            else:
                b[i,j] = 3
    return (s, b)
match = {('A', 'A'): 2, ('A', 'C'): -1, ('A', 'G'): 0, ('A', 'T'): -1,
         ('C', 'A'): -1, ('C', 'C'): 2, ('C', 'G'): -1, ('C', 'T'): 0,
         ('G', 'A'): 0, ('G', 'C'): -1, ('G', 'G'): 2, ('G', 'T'): -1,
         ('T', 'A'): -1, ('T', 'C'): 0, ('T', 'G'): -1, ('T', 'T'): 2}
v = "TTCCGAGCGTTA"
W = "TTTCAGGTTA"
s, b = GlobalAlign(v,w,match, -1)
align = Alignment(b,v,w,b.shape[0]-1,b.shape[1]-1)
print "v =", align[0]
print "w =", align[1]
```

v = TTCCGAGCGTTA

```
w = TTTC_AG_GTTA
```

Local vs. Global Alignment

- The *Global Alignment Problem* tries to find the highest scoring path between vertices (0,0) and (n,m) in the edit graph.
- The *Local Alignment Problem* tries to find the highest scoring subpath between all vertex pairs (i_1, j_1) and (i_2, j_2) in the edit graph where $i_2 > i_1$ and $j_2 > j_1$.
- In an edit graph with negatively-weighted scores, a Local Alignment may score higher than a Global Alignment

Example:

• Global Alignment

```
--T--CC-C-AGT--TATGT-CAGGGGACACG-A-GCATGCAGA-GAC
```

• Local Alignment finds longer conserved segment

Local Alignments: Why?

- Two genes in different species may be similar over short conserved regions and dissimilar over remaining regions.
- Example:
 - Homeobox genes have a short region called the homeodomain that is highly conserved between species.
 - A global alignment would not find the homeodomain because it would try to align the ENTIRE sequence

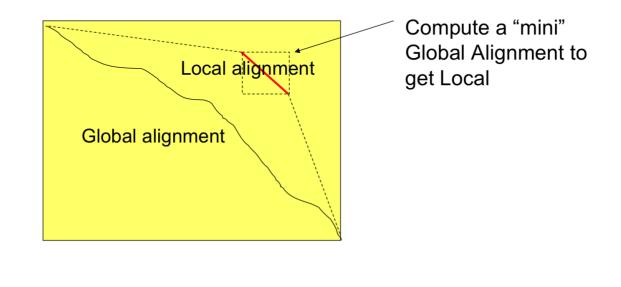
Local Alignment Problem:

- Goal: Find the best local alignment between two strings
- Input: Strings v, w and scoring matrix δ
- **Output**: Alignment of substrings of *v* and *w* whose alignment score is maximum among all possible alignment of all possible substrings



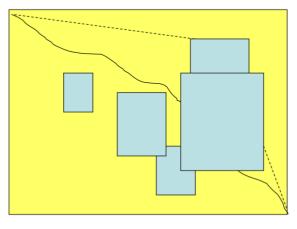
Local Alignment Approach

A local alignment is a subpath in a global alignment



Brute Force Local Alignment

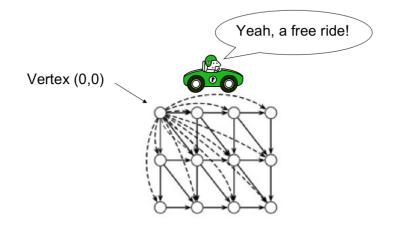
Find the best global alignment amoung all blocks (i_1, j_1, i_2, j_2)



- Long run time $O(n^4)$:
 - In the grid of size n x n there are $O(n^2)$ vertices (i_1, j_1) that may serve as a source.
 - For each such vertex computing alignments from (i_1, j_1) to (i_2, j_2) takes $O(n^2)$ time.
- This can be remedied by giving free rides

Local Alignment: Free Rides

• *Key Ideas:* Add extra edges to our graph, consider all scores in matrix



- The dashed edges represent a *free ride* from (0,0) to any other node
- The largest value of $s_{i,j}$ over the *whole score matrix* is the end point of the best local alignment (instead of $s_{n,m}$).

The Local Alignment Recurrence

$$s_{i,j} = max \begin{bmatrix} 0 & \\ s_{i-1,j-1} + \delta(v_i, w_j) \\ s_{i-1,j} + \delta(v_i, -) \\ s_{i,j-1} + \delta(-, w_j) \end{bmatrix}$$

Notice there is only this small change from the original recurrence of a Global Alignment

Notice there is only this

- The zero is our free ride that allows the node to restart with a score of o at any point
 - What does this imply?
- After solving for the entire score matrix, we then search for $s_{i,j}$ with the highest score, this is (i_2, j_2)
- We follow our back tracking matrix until we reach a *score* of \tilde{o} , whose coordinate becomes (i_1, j_1)

Next Time



- Alignment with Gap Penalities
- Multiple Alignment problem
- Can we do better than O(MN)?

