## Relational Algebra

Chapter 4.1-4.2

Problem Set \#1 was issued today. It is due on $9 / 16$.


## Formal Query Languages

*What is the basis of Query Languages?

* Two formal Query Languages form the basis of "real" query languages (e.g. SQL):
- Relational Algebra: Operational, it provides a recipe for evaluating the query. Useful for representing execution plans.
- Relational Calculus: Lets users describe what they want, rather than how to compute it. (Non-operational, declarative.)


## What is an "Algebra"



* Set of operands and operations that they are "closed" under all compositions
* Examples
- Boolean algebra - operands are the logical values True and False, and operations include AND(), OR(), NOT(), etc.
- Integer algebra - operands are the set of integers, operands include ADD()$, \mathrm{SUB}()$, MUL(), NEG(), etc. many of which have special in-fix operator symbols (+,-,*,-)
* In our case operands are relations, what are the operators?


## Example Instances

\% "Sailors" and "Reserves" relations for our examples.

$\boldsymbol{R 1}$| $\underline{\text { sid }}$ | $\underline{\text { bid }}$ | $\underline{\text { day }}$ |
| :--- | :--- | :---: |
| 22 | 101 | $10 / 10 / 96$ |
| 58 | 103 | $11 / 12 / 96$ |

* We'll use "named field notation", which assumes that names of fields in query results are "inherited" from names of fields in query input relations.

| S1 | sid | sname | rating | age |
| :---: | :---: | :---: | :---: | :---: |
|  | 22 | dustin | 7 | 45.0 |
|  | 31 | lubber | 8 | 55.5 |
|  | 58 | rusty | 10 | 35.0 |
| S2 | sid | sname | rating | age |
|  | 28 | yuppy | 9 | 35.0 |
|  | 31 | lubber | 8 | 55.5 |
|  | 44 | guppy | 5 | 35.0 |
|  | 58 | rusty | 10 | 35.0 |

## Relational Algebra

* Basic operations:
- Selection $(\sigma)$ Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Allows us to combine two relations.
- Set-difference (一) Tuples in reln. 1, but not in reln. 2.
- Union (U) Tuples in reln. 1 and in reln. 2.
* Additional operations:
- Intersection, join, division, renaming: Not essential, but (very!) useful.
* Since each operation returns a relation, operations can be composed! (Algebra is "closed".)


## Projection

* Deletes attributes that are not in projection list.
* Schema of result contains exactly the fields in the projection list, with the same names that they had in the (only) input relation.
* Projection operator has to eliminate duplicates! (Why??)
- Note: real systems typically don't do duplicate elimination unless the user explicitly asks for it. (Why not?)

| sid | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |

$\pi$
sname, rating
age
35.0
55.5
$\pi_{a g e^{(S 2)}}$

## Selection


*Selects rows that satisfy selection condition.

* No duplicates in result! (Why?)
* Schema of result identical to schema of (only) input relation.
* Result relation can be the input for another relational algebra operation! (Operator composition.)

| sid | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |
| $\sigma_{\text {rating }>8}(S 2)$ |  |  |  |



## Union, Intersection, Set-Differenc̈e

* All of these operations take two input relations, which must be union-compatible:
- Same number of fields.
- 'Corresponding' fields have the same type.
*What is the schema of result?

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 |

$S 1-S 2$

## Cross-Product

* Each row of S1 is paired with each row of R1.
* Result schema has one field per field of S1 and R1, with field names inherited' if possible.
- Conflict: Both S1 and R1 have a field called sid.

| (sid) | sname | rating | age | (sid) | bid | day |
| :---: | :--- | :---: | :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 22 | 101 | $10 / 10 / 96$ |
| 22 | dustin | 7 | 45.0 | 58 | 103 | $11 / 12 / 96$ |
| 31 | lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

- Renaming operator: $\rho(T(S 1$.sid $\rightarrow$ sid $1, R 1$ sid $\rightarrow$ sid 2$), S 1 \times R 1)$


## Joins

* Condition Join: $\quad R \bowtie{ }_{c} S=\sigma_{c}(R \times S)$

| $($ sid $)$ | sname | rating | age | (sid) | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 58 | 103 | $11 / 12 / 96$ |
| 31 | lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |

$$
S 1 \bowtie_{S 1 . s i d<}<R 1 . \text { sid } R 1
$$

* Result schema same as that of cross-product.
* Fewer tuples than cross-product, might be able to compute more efficiently
* Sometimes called a theta-join.


## Joins

* Equi-Join: A special case of condition join where the condition $c$ contains only equalities.

| sid | sname | rating | age | bid | day |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 101 | $10 / 10 / 96$ |
| 58 | rusty | 10 | 35.0 | 103 | $11 / 12 / 96$ |

$S 1 \bowtie_{\text {sid }} R 1$

* Result schema similar to cross-product, but only one copy of fields for which equality is specified.
* Natural Join: Equijoin on all common fields
(no labels on bowtie).


## Division

* Not supported as a primitive operator, but useful for expressing queries like:

Find sailors who have reserved all boats.

* Let $A$ have 2 fields, $x$ and $y ; B$ have only field $y$ :
- $A / B=\{\langle x\rangle \mid \exists\langle x, y\rangle \in A \quad \forall\langle y\rangle \in B\}$
- i.e., $A / B$ contains all $x$ tuples (sailors) such that for every $y$ tuple (boat) in $B$, there is an $x y$ tuple in $A$.
- If the set of $y$ values (boats) associated with an $x$ value (sailor) in $A$ contains all $y$ values in $B$, the $x$ value is in $A / B$.
* In general, $x$ and $y$ can be any lists of fields; $y$ is the list of fields in $B$, and $x \cup y$ is the list of fields of $A$.


## Examples of Division $A / B$

| sno | pno |
| :--- | :--- |
| s1 | p1 |
| s1 | p2 |
| s1 | p3 |
| s1 | p4 |
| s2 | p1 |
| s2 | p2 |
| s3 | p2 |
| s4 | p2 |
| s4 | p4 |
| $A$ |  |


| pno |
| :---: |
| p2 |
| B1 |


| pno |
| :---: |
| p2 |
| p4 |
| B2 |


| pno |
| :--- |
| p1 |
| p2 |
| p4 |


| sno |
| :--- |
| s1 |
| s2 |
| s3 |
| s4 |


| sno |
| :--- |
| s1 |
| s4 |

A/B1
A/B2
B3


A/B3

## Expressing A/B Using Basic Operators

* Division is not essential; it's just a useful shorthand.
- (Also true of joins, but joins are so common that systems implement joins specially.)
* Idea: For $A / B$, compute all $x$ values that are not "disqualified" by some $y$ value in $B$.
- $x$ value is disqualified if by attaching $y$ value from $B$, we obtain an $x y$ tuple that is not in $A$.

$$
\begin{aligned}
& \text { Disqualified } x \text { values: } \quad \pi_{x}\left(\left(\pi_{x}(A) \times B\right)-A\right) \\
& A / B: \quad \pi_{x}(A)-\pi_{x}\left(\left(\pi_{x}(A) \times B\right)-A\right)
\end{aligned}
$$

## Relational Algebra Examples

* Assume the following extended schema:
- Sailors(sid: integer, sname: string, rating: integer, age: real)
- Reserves(sid: integer, bid: integer, day: date)
- Boat(bid: integer, bname: string, bcolor: string)
* Objective: Write a relational algebra expression whose result instance satisfies the specified conditions
- May not be unique
- Some alternatives might be more efficient (in terms of time and/or space)


## Example

Sailors:

| sid | sname | rating | age |
| :--- | :--- | :--- | :---: |
| 22 | Dustin | 7 | 45.0 |
| 29 | Brutus | 1 | 33.0 |
| 31 | Lubber | 8 | 55.5 |
| 32 | Andy | 8 | 25.5 |
| 58 | Rusty | 10 | 35.0 |
| 64 | Horatio | 7 | 35.0 |
| 71 | Zorba | 10 | 16.0 |
| 74 | Horatio | 9 | 35.0 |
| 85 | Art | 3 | 25.5 |
| 95 | Bob | 3 | 63.5 |

Reservations:

| sid | bid | day |
| :---: | :---: | :---: |
| 22 | 101 | $10 / 10 / 98$ |
| 22 | 102 | $10 / 10 / 98$ |
| 22 | 103 | $10 / 8 / 98$ |
| 22 | 104 | $10 / 7 / 98$ |
| 31 | 102 | $11 / 10 / 98$ |
| 31 | 103 | $11 / 6 / 98$ |
| 31 | 104 | $11 / 12 / 98$ |
| 64 | 101 | $9 / 5 / 98$ |
| 64 | 102 | $9 / 8 / 98$ |
| 74 | 103 | $9 / 8 / 98$ |

Boats:

| bid | bname | color |
| :---: | :--- | :---: |
| 101 | Interlake | blue |
| 102 | Interlake | red |
| 103 | Clipper | green |
| 104 | Marine | red |

## Names of sailors who've reserved boat \#103

* Solution 1: $\quad \pi_{\text {sname }}\left(\left(\sigma_{\text {bid=103 }}\right.\right.$ Reserves $) \bowtie$ Sailors $)$
* Solution 2: $\quad \rho\left(\right.$ Temp1, $\sigma_{b i d=103}$ Reserves $)$

$$
\begin{aligned}
& \rho(\text { Temp } 2, \text { Temp } 1 \bowtie \text { Sailors }) \\
& \pi_{\text {sname }}(\text { Temp } 2)
\end{aligned}
$$

* Solution 3: $\quad \pi_{\text {sname }}\left(\sigma_{\text {bid }=103}(\right.$ Reserves $\bowtie$ Sailors $\left.)\right)$


## Names of sailors who've reserved a red boat

* Information about boat color only available in Boats; so need an extra join:

$$
\pi_{\text {sname }}\left(\left(\sigma_{\text {color }}=^{\prime} \text { red }^{\prime} \text { Boats }\right) \bowtie \operatorname{Reserves} \bowtie \text { Sailors }\right)
$$

* A more efficient solution:

$$
\pi_{\text {sname }}\left(\pi_{\text {sid }}\left(\pi_{\text {bid }}\left(\sigma_{\text {color='red }} \text { Boats }\right)><\operatorname{Res}\right)><\text { Sailors }\right)
$$

A query optimizer can find this, given the first solution!

## Sailors who've reserved a red or a green boat

* Can identify all red or green boats, then find sailors who' ve reserved one of these boats:
$\rho\left(\right.$ Tempboats, $\left(\sigma_{\text {color }}=\right.$ ' red' $\vee$ color $=$ ' green' ${ }^{\prime}$ Boats $\left.)\right)$
$\pi_{\text {sname }}{ }^{(\text {Tempboats } \bowtie \operatorname{Reserves} \bowtie} \bowtie$ Sailors)
* Can also define Tempboats using union! (How?)
* What happens if $\vee$ is replaced by $\wedge$ in this query?


## Sailors who've reserved a red and a green boat

* Previous approach won’t work! Must identify sailors who've reserved red boats, sailors who've reserved green boats, then find the intersection (note that sid is a key for Sailors):
$\rho\left(\right.$ Tempred, $\pi_{\text {sid }}\left(\left(\sigma_{\text {color }=\text { 'red }}{ }^{\text {Boats }) \bowtie \text { Reserves }))}\right.\right.$
$\rho\left(\right.$ Tempgreen, $\pi_{\text {sid }}\left(\left(\sigma_{\text {color='green }}{ }^{\text {Boats }) \bowtie \text { Reserves }))}\right.\right.$ $\pi_{\text {sname }}{ }^{((\text {Tempred } \cap \text { Tempgreen }) \bowtie \text { Sailors })}$

Names of sailors who've reserved all boats

* Use division; schemas of the input relations to / must be carefully chosen:

$$
\begin{aligned}
& \rho\left(\text { Tempsids, } \left(\pi_{\text {sid,bid }}^{\text {Reserves } \left.) /\left(\pi_{\text {bid }} \text { Boats }\right)\right)}\right.\right. \\
& \pi_{\text {sname }}(\text { Tempsids } \bowtie \text { Sailors })
\end{aligned}
$$

* To find sailors who've reserved all ‘Interlake' boats:

$$
\begin{aligned}
& \rho\left(\text { iBoats }, \sigma_{\text {bname }=\text { 'Interlake }}{ }^{\text {Boats })}\right. \\
& \rho\left(\text { Tempsids },\left(\pi_{\text {sid,bid }} \text { Reserves }\right) /\left(\pi_{\text {bid }} \text { iBoats }\right)\right) \\
& \pi_{\text {sname }}(\text { Tempsids } \bowtie \text { Sailors })
\end{aligned}
$$

## Summary



* Relational algebra is an operational specification for queries
* Each operation applies to relations and results in a new relation
* Equivalent queries can be achieved via many alternative relational algebra expressions
* Relational algebra provides a more than minimal set of operators to provide compact specifications

