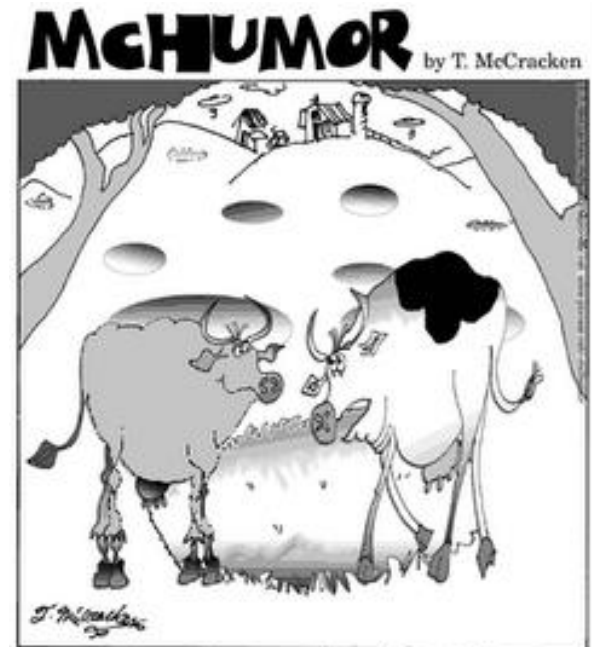
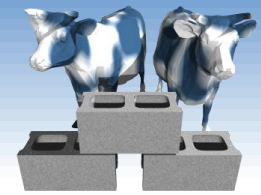


# *The Relational Model*

## Chapter 3



"Let's eat the grass in perfect circles.  
It drives them crazy."



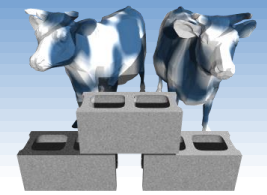
# *Why Study the Relational Model?*

- ❖ Most widely used model by industry.
  - IBM, Informix, Microsoft, Oracle, Sybase, etc.
- ❖ It is simple, elegant, and efficient
  - Entities and relations are represented as tables
  - Tables allow for arbitrary referencing  
(Tables can refer to other tables)
- ❖ Recent competitor: object-oriented model
  - ObjectStore, Versant, Ontos
  - A synthesis emerging: *object-relational model*
    - Informix Universal Server, UniSQL, O2, Oracle, DB2



# Relational Database: Definitions

- ❖ *Relational database*: a set of *relations*
- ❖ *Relation*: made up of 2 parts:
  - *Instance* : a *table*, with rows and columns.  
*#rows = cardinality, #fields = degree / arity.*
  - *Schema* : specifies name of relation, plus a name and type for each column.
    - e.g. *Students(sid: string, name: string, login: string, age: integer, gpa: real).*
- ❖ Can think of a relation as a *set* of rows or *tuples*.



# Example Instance of Students Relation

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

- ❖ Cardinality = 3, degree = 5
- ❖ All rows in a relation instance *have to be distinct*– each relation is defined to be a *set* of unique tuples



# Relational Query Languages

- ❖ A major strength of the relational model is that it supports simple and powerful *querying* of data.
- ❖ Often *declarative* instead of *imperative*
- ❖ Queries can be written intuitively, and the DBMS is responsible for efficient evaluation.
  - Precise semantics for relational queries.
  - Allows the optimizer to extensively re-order operations, and still ensure that the answer does not change.



# *The SQL Query Language*

- ❖ Developed by IBM (system R) in the 1970s
- ❖ A portable and long-lasting standard
- ❖ Standards:
  - SQL-86
  - SQL-89 (minor revision)
  - SQL-92 (major revision)
  - SQL-1999 (major extensions, Current baseline)
  - SQL-2003, SQL-2006 (added XML support)
  - SQL-2008, (minor additions)
  - SQL-2011, (temporal support)



# The SQL Query Language

❖ To find all 18 year old students, we can write:

“S” in this expression indicates a *formal variable* which takes on successive values from the table.



```
SELECT *  
FROM Students S  
WHERE S.age=18
```

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2

- To find just names and logins, replace the first line

```
SELECT S.name, S.login
```

- When a relation is referenced only once and attributes are unique, the use of variables is optional



# Querying Multiple Relations

- ❖ What does the following query compute?

```
SELECT S.name, E.cid
FROM Students S, Enrolled E
WHERE S.sid=E.sid AND E.grade="A"
```



Effectively "Joins" or connects two tables

Given the following instances of Enrolled and Students:

Students:

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8

Enrolled:

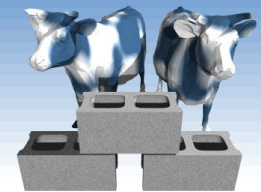
sid	cid	grade
53688	Carnatic101	C
53688	Reggae203	B
53650	Topology112	A
53666	History105	B

we get:

S.name	E.cid
Smith	Topology112







# *Creating Relations in SQL*

- ❖ SQL for creating the Students relation.
- ❖ Observe that the type (**domain**) of each field is specified, and enforced by the DBMS whenever tuples are added or modified.
- ❖ Another example, the Enrolled table holds information about courses that students take.

```
CREATE TABLE Students (  
    sid INTEGER,  
    name TEXT,  
    login TEXT,  
    age INTEGER,  
    gpa REAL)
```

```
CREATE TABLE Enrolled (  
    sid INTEGER,  
    cid TEXT,  
    grade TEXT)
```



# *Destroying and Altering Relations*

## DROP TABLE Students

- ❖ Destroys the relation Students. The schema information *and* the tuples are deleted.

## ALTER TABLE Students

### ADD COLUMN admitYear: integer

- ❖ The schema of Students is altered by adding a new field; every tuple in the current instance is extended with a *null* value in the new field.



# *Adding and Deleting Tuples*

- ❖ Can insert a single tuple using:

```
INSERT INTO Students (sid, name, login, age, gpa)  
VALUES (53675, 'Smith', 'smith@phys', 18, 3.5)
```

- ❖ Can delete all tuples satisfying some condition (e.g., name = Smith):

```
DELETE  
FROM Students S  
WHERE S.name = 'Smith'
```

*☞ Powerful variants of these commands are available; more later!*



# Integrity Constraints (ICs)

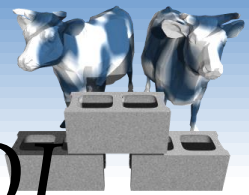
- ❖ **IC:** condition that must be true for *any* instance of the database; e.g., domain constraints.
  - ICs are specified when schema is defined.
  - ICs are checked when relations are modified.
- ❖ A *legal* instance of a relation is one that satisfies all specified ICs.
  - DBMS should not allow illegal instances.
- ❖ If the DBMS checks ICs, stored data is more faithful to real-world meaning.
  - Avoids data entry errors, too!

```
CREATE TABLE Students (  
  sid INTEGER,  
  name TEXT,  
  login TEXT,  
  age INTEGER,  
  gpa REAL)
```



# Primary Key Constraints

- ❖ A set of fields is a *key* for a relation if :
  1. No two tuples can have same values for all their corresponding key fields
  2. This is not true for any subset of the key
- ❖ If the key is overspecified (Rule 2 violated), it is called a *superkey*.
- ❖ If there's more than one key for a relation, one is chosen (by DBA) as the *primary key*.
- ❖ E.g., *sid* is a key for Students. (What about *name*?) The set {*sid*, *gpa*} is a superkey.



# Primary and Candidate Keys in SQL

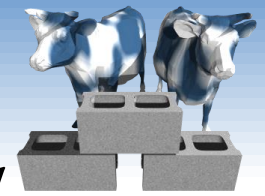
- ❖ Possibly many candidate keys, one of which is chosen as the *primary key*. Alternative, non primary keys can be specified using **UNIQUE**.

- ❖ “For a given student and course, there is a single grade.” vs.  
“Students can take only one course, and receive a single grade for that course; further, no two students in a course receive the same grade.”

- ❖ Used carelessly, an IC can prevent the storage of database instances that arise in practice!

```
CREATE TABLE Enrolled (  
  sid INTEGER,  
  cid TEXT,  
  grade TEXT,  
  PRIMARY KEY (sid,cid) )
```

```
CREATE TABLE Enrolled (  
  sid INTEGER,  
  cid TEXT,  
  grade TEXT,  
  PRIMARY KEY (sid),  
  UNIQUE (cid, grade) )
```

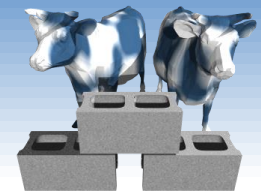


# Foreign Keys, Referential Integrity

- ❖ Foreign key: Set of fields in one relation that is used to “reference” a tuple in another relation. (Must correspond to primary key of the second relation.) Like a “logical pointer”.
- ❖ E.g. *sid* is a foreign key referring to **Students**:
  - Enrolled(*sid*: string, *cid*: string, *grade*: string)
  - If all foreign key constraints are enforced, referential integrity is achieved, i.e., no dangling references.
  - Can you name a data model w/o referential integrity?

Links in HTML!





# Foreign Keys in SQL

- ❖ Only students listed in the Students relation should be allowed to enroll for courses.

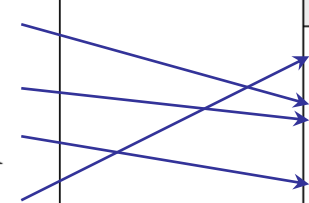
```
CREATE TABLE Enrolled (
  sid INTEGER, cid TEXT, grade TEXT,
  PRIMARY KEY (sid,cid),
  FOREIGN KEY (sid) REFERENCES Students )
```

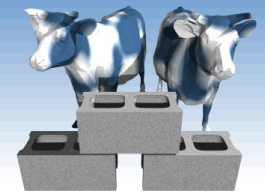
## Enrolled

sid	cid	grade
53688	Carnatic101	C
53688	Reggae203	B
53650	Topology112	A
53666	History105	B

## Students

sid	name	login	age	gpa
53666	Jones	jones@cs	18	3.4
53688	Smith	smith@cs	18	3.2
53650	Smith	smith@math	19	3.8





# *Enforcing Referential Integrity*

- ❖ Consider Students and Enrolled; *sid* in Enrolled is a foreign key that references Students.
- ❖ What should be done if an Enrolled tuple with a non-existent student id is inserted? (*Reject it!*)
- ❖ What should be done if a Students tuple is deleted?
  1. Also delete all Enrolled tuples that refer to it.
  2. Disallow deletion of a Students tuple that is referred to.
  3. Set *sid* in Enrolled tuples that refer to it to a *default sid*.
  4. (In SQL, also: Set *sid* in Enrolled tuples that refer to it to a special value *null*, denoting *unknown* or *does not apply*.)
- ❖ Similar if primary key of Students tuple is updated.



# Referential Integrity in SQL

❖ SQL/92 and SQL:1999 support all 4 options on deletes and updates.

- Default is **NO ACTION** (no consequences on *delete or update*)
- **CASCADE** (also delete all tuples that refer to deleted tuple)
- **SET NULL / SET DEFAULT** (sets foreign key value of referencing tuple)

```
CREATE TABLE Enrolled (  
  sid INTEGER,  
  cid TEXT,  
  grade TEXT,  
  PRIMARY KEY (sid, cid),  
  FOREIGN KEY (sid)  
    REFERENCES Students  
    ON DELETE CASCADE  
    ON UPDATE SET DEFAULT )
```



# Where do ICs Come From?

- ❖ ICs are based upon the semantics of the real-world enterprise that is being described in the database relations.
- ❖ We can check a database instance to see if an IC is violated, but we can **NEVER** infer that an IC is true by looking at an instance.
  - An IC is a statement about *all possible* instances!
  - From example, we know *name* is not a key, but the assertion that *sid* is a key is given to us.
- ❖ Key and foreign key ICs are the most common; more general ICs supported too.



# Views



- ❖ A *view* is just a relation, but it is derived from other relations. Thus, we store a *definition*, rather than a set of tuples.

```
CREATE VIEW YoungActiveStudents(name, grade)
AS SELECT S.login, E.grade
FROM Students S, Enrolled E
WHERE S.sid = E.sid and S.age<21
```

- ❖ Views can be dropped using the **DROP VIEW** command.
  - How to handle **DROP TABLE** if there's a view on the table?
  - DROP TABLE command has options to let the user specify this.



# *Views to support ISA relations*

- ❖ The common elements of an ISA hierarchy can be supported using views.
- ❖ For example, consider this implementation of Alternate 2 from slide 29

```
CREATE VIEW Employee(ssn, name, jobtitle)
  AS SELECT H.ssn, H.name, H.jobtitle
     FROM Hourly_Emps H
  UNION
     SELECT C.ssn, C.name, C.jobtitle
     FROM Contract_Emps C
```



# *Views and Security*

- ❖ Views can be used to present necessary information (or a summary), while hiding details in underlying relation(s).
- ❖ Ex. A list of grades made by YoungStudents, sorted by email addresses.

login	grade
smith@cs	C
smith@cs	B
jones@cs	B



# *Relational Model: Summary*

- ❖ A tabular representation of data.
- ❖ Simple and intuitive, currently the most widely used.
- ❖ Integrity constraints can be specified by the DBA, based on application semantics. DBMS checks for violations.
  - Two important ICs: primary and foreign keys
  - In addition, we *always* have domain constraints.
- ❖ Powerful and natural query languages exist.